

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: DARIN L. PETERSON *ET AL.*  
APPLICATION NO.: 09/420,659  
FILED: OCTOBER 19, 1999  
FOR: **METHODS AND APPARATUS FOR  
RETAINING A TRAY STACK HAVING A  
PLURALITY OF TRAYS FOR CARRYING  
MICROELECTRONIC DEVICES**

EXAMINER: JANICE LEE KRIZEK  
ART UNIT: 3652  
CONF. NO: 3104

**Supplemental Information Disclosure Statement**  
**As Submission Under 37 C.F.R. § 1.114**

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

1. Timing of Submission

This information disclosure is being filed with a Request for Continued Examination under 37 C.F.R. § 1.114. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. Cited Information

☒ Copies of the following references are enclosed:

- ☒ All cited references  
☐ The following:

3. Effect of Information Disclosure Statement (37 CFR 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

4. Fee Payment

No fees are believed due. However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0665.

Respectfully submitted,  
Perkins Coie LLP



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Date: June 4, 2004

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

 Form PTO-1449 (Modified)  
(Use several sheets if necessary)

**COMPLETE IF KNOWN**

Application Number	09/420,659
Confirmation Number	3104
Filing Date	October 19, 1999
First Named Inventor	Darin L. Peterson
Group Art Unit	3652
Examiner Name	Janice Lee Krizek
Attorney Docket No.	108298352US

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No.	U.S. Patent or Application		Name of Patentee or Inventor of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		NUMBER	Kind Code (if known)			
		5,510,723		Canella	04/23/1996	
		5,528,603		Canella et al.	06/18/1996	
		5,648,728		Canella	07/15/1997	
		5,937,270		Canella	08/10/1999	
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		6,200,386	B1	Canella	03/13/2001	
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		6,287,068	B1	Canella et al.	09/11/2001	
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		6,580,957	B2	Canella	06/17/2003	
		6,695,571	B1	Canella	02/24/2004	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application(s).